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UNITED STATES DISTRICT COURT
DISTRICT OF ARIZONA

Mark Smilovits, Individually and on Behalf)
of All Others Similarly Situated,)

Plaintiff,)

vs.)

First Solar, Inc., Michael J. Ahearn, Robert)
J. Gillette, Mark R. Widmar, Jens)
Meyerhoff, James Zhu, Bruce Sohn and)
David Eaglesham,)

Defendants.)

No. 2:12-cv-00555-DGC

CLASS ACTION

ORDER AND FINAL JUDGMENT

On June 30, 2020, the Court held a hearing to determine: (1) whether the terms and conditions of the Stipulation of Settlement dated February 13, 2020 (the “Stipulation”) are fair, reasonable and adequate for the settlement of all claims asserted by the Class against the Defendants in this case (the “Litigation”), including the release of the Released Persons; (2) whether judgment should be entered dismissing the Complaint on the merits and with prejudice in favor of the Defendants and against all persons or entities who are Members of the Class who have not timely and validly requested exclusion from the Class; (3) whether to approve the Plan of Allocation as a fair and reasonable method to allocate the settlement proceeds among the Members of the Class; (4) whether and in what amount to award Lead Counsel fees and costs, charges and expenses; and (5) whether and in what amount to reimburse Lead Plaintiffs for their costs and expenses in representing the Class.

1 The Court has considered all matters submitted to it before and during the hearing. No
2 substantive objections have been made by Class Members to the proposed settlement or
3 the requests for fees and costs. All capitalized terms not otherwise defined in this order
4 have the meanings set forth in the Stipulation.

5 IT IS ORDERED:

6 1. The Court has jurisdiction over the subject matter of this Litigation, the Lead
7 Plaintiffs, all Class Members, and Defendants.

8 2. Excluded from the Class is any Class Member that validly and timely
9 requested exclusion, which Class Members are identified in Exhibit A. Also excluded are
10 the plaintiffs in the action captioned *Maverick Fund, L.D.C. v. First Solar, Inc. et al.*, No.
11 2:15-cv-01156-DGC, pending in this Court (the “Opt-Out Litigation”).

12 3. Notice of the pendency of this Litigation and the proposed Settlement was
13 given to all Class Members who could be identified with reasonable effort. The form and
14 method of notifying the Class of the pendency of the Litigation and the terms and
15 conditions of the proposed Settlement meet the requirements of Rule 23 of the Federal
16 Rules of Civil Procedure, the Private Securities Litigation Reform Act of 1995 (the
17 “PSLRA”), and due process, constitute the best notice practicable under the circumstances,
18 and constitute due and sufficient notice to all individuals and entities entitled to notice.

19 4. Pursuant to Federal Rule of Civil Procedure 23(e)(2), the Court approves the
20 Settlement set forth in the Stipulation and finds that in light of the benefits to the Class, the
21 complexity and expense of further litigation, and the costs of continued litigation, the
22 Settlement is, in all respects, fair, reasonable, and adequate. The Court finds that: (a) Lead
23 Plaintiffs and Lead Counsel have adequately represented the Class; (b) the Settlement and
24 Stipulation were negotiated at arm’s length; (c) the relief provided for the Class is adequate,
25 taking into account (i) the costs, risks, and delay of trial and appeal; (ii) the effectiveness
26 of any proposed method of distributing relief to the Class, including the method of
27 processing Class Member claims; (iii) the terms of any proposed award of attorneys’ fees,
28 including timing of payment; and (iv) the absence of any agreement required to be

1 identified under Rule 23(e)(2); and (d) the proposed Plan of Allocation treats Class
2 Members equitably relative to each other.

3 5. The Court authorizes and directs implementation and performance of all the
4 terms and provisions of the Stipulation, as well as the terms and provisions of this order.
5 Except for claims of Persons who have validly and timely requested exclusion from the
6 Class (identified in Exhibit A), and the plaintiffs in the Opt-Out Litigation, the Court
7 dismisses all Released Claims of the Class, as against the Released Persons, with prejudice.
8 The Settling Parties are to bear their own costs, except to and to the extent provided in the
9 Stipulation and this and related orders.

10 6. The releases as set forth in ¶¶4.1-4.4 of the Stipulation (the “Releases”),
11 together with the definitions contained in ¶¶1.1-1.32 of the Stipulation, are expressly
12 incorporated in this order in all respects. The Releases are effective as of the Effective
13 Date.

14 7. Upon the Effective Date, each of the Releasing Plaintiff Parties will be
15 forever barred and enjoined from commencing, instituting, prosecuting, or continuing to
16 prosecute any action or other proceeding in any court of law or equity, arbitration tribunal,
17 or administrative forum, asserting the Released Claims against any of the Released
18 Persons. Claims to enforce the terms of the Stipulation are not released.

19 8. Upon the Effective Date, Lead Plaintiffs shall, and each and every Releasing
20 Plaintiff Party shall be deemed to have, and by operation of this Judgment shall have, fully,
21 finally, and forever waived, released, relinquished, discharged and dismissed each and
22 every one of the Released Claims (including Unknown Claims) against each and every one
23 of the Released Persons and shall forever be barred and enjoined from commencing,
24 instituting, prosecuting, or maintaining any and all of the Released Claims against any and
25 all of the Released Persons, whether or not such Releasing Plaintiff Party executes and
26 delivers the Proof of Claim and Release or shares in the Net Settlement Fund. Lead
27 Plaintiffs and each Releasing Plaintiff Party are bound by this Judgment, including, without
28 limitation, the release of claims as set forth in the Stipulation. The Released Claims are

1 hereby compromised, settled, released, discharged, and dismissed as against the Released
2 Persons on the merits and with prejudice by virtue of the proceedings herein and this Order
3 and Final Judgment. Claims to enforce the terms of the Settlement are not released.

4 9. Upon the Effective Date, each of the Released Persons shall be deemed to
5 have, and by operation of this Judgment shall have, fully, finally, and forever released,
6 relinquished, and discharged Lead Plaintiffs, the Class and Plaintiffs' Counsel from all
7 Released Defendants' Claims (including Unknown Claims). Claims to enforce the terms
8 of the Stipulation are not released.

9 10. Upon the Effective Date, to the fullest extent permitted by law, (i) all Persons
10 shall be permanently enjoined, barred and restrained from commencing, instituting,
11 prosecuting, or maintaining any claims, actions, or causes of action for contribution,
12 indemnity or otherwise against any of the Released Persons seeking as damages or
13 otherwise the recovery of all or part of any liability, judgment or settlement which they pay
14 or are obligated to pay or agree to pay to the Releasing Plaintiff Parties arising out of,
15 relating to or concerning any acts, facts, statements or omissions that were or could have
16 been alleged in the Litigation, both known and Unknown Claims, whether arising under
17 state, federal or foreign law, as claims, cross-claims, counterclaims, third-party claims or
18 otherwise, in the Court or any other federal, state, or foreign court, or in any arbitration
19 proceeding, administrative agency proceeding, tribunal, or any other proceeding or forum;
20 and (ii) all Released Persons shall be permanently enjoined, barred and restrained from
21 commencing, instituting, prosecuting, or maintaining any claims, actions, or causes of
22 action for contribution, indemnity or otherwise against any Persons seeking as damages or
23 otherwise the recovery of all or any part of any liability, judgment or settlement which they
24 pay or are obligated to pay or agree to pay to the Releasing Plaintiff Parties arising out of,
25 relating to, or concerning any acts, facts, statements or omissions that were or could have
26 been alleged in the Litigation, both known and Unknown Claims, whether arising under
27 state, federal or foreign law, as claims, cross-claims, counterclaims, third-party claims or
28 otherwise, in the Court or any other federal, state, or foreign court, or in any arbitration

1 proceeding, administrative agency proceeding, tribunal, or any other proceeding or forum;
2 ***provided that*** clauses (i) and (ii) of this Paragraph shall not be construed to modify, amend,
3 or supersede any agreements between or among the Released Persons with respect to
4 claims between or among those Released Persons.

5 11. Defendants have denied, and continue to deny, any and all allegations and
6 claims asserted in the Litigation, and Defendants have represented that they entered into
7 the Settlement because it would be beneficial to avoid the burden, inconvenience, and
8 expense associated with continuing the Litigation and the uncertainty and risks inherent in
9 any litigation. Neither this Order and Final Judgment, the Stipulation, nor any of their
10 respective terms and provisions, nor any of the negotiations, discussions, or proceedings
11 connected with them, nor any act performed or document executed pursuant to or in
12 furtherance of the Stipulation or the Settlement, nor any of the documents or statements
13 referred to therein, nor any payment or consideration provided for therein, shall be:

14 (a) offered or received against any of the Released Persons as evidence
15 of, or construed as evidence of, any presumption, concession, or admission by any of the
16 Released Persons with respect to the truth of any of the allegations in the Litigation or the
17 validity of any claim that has been or could have been asserted against any of the Released
18 Persons in the Litigation or in any other litigation, action, or proceeding, whether civil,
19 criminal, or administrative, in any court, administrative agency, or other tribunal, or the
20 deficiency of any defense that has been or could have been asserted in the Litigation or in
21 any other litigation, action, or proceeding, whether civil, criminal, or administrative in any
22 court, administrative agency, or other tribunal, or of any liability, negligence, fault, or other
23 wrongdoing of any kind by any of the Released Persons;

24 (b) offered or received against any of the Released Persons as evidence
25 of, or construed as evidence of, any presumption, concession, or admission of any fault,
26 misrepresentation, or omission with respect to any statement or written document approved
27 or made by any of the Released Persons, or against Lead Plaintiffs or any Member of the
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1 Class as evidence of, or construed as evidence of, any infirmity of the claims alleged by
2 Lead Plaintiffs;

3 (c) offered or received against the Released Persons, Lead Plaintiffs, or
4 any Member of the Class as evidence of, or construed as evidence of, any presumption,
5 concession, or admission by any of the Released Persons, Lead Plaintiffs, or any Member
6 of the Class with respect to any liability, negligence, fault, or wrongdoing as against any
7 of the Released Persons, Lead Plaintiffs, or any Member of the Class in any other litigation,
8 action, or proceeding, whether civil, criminal, or administrative, in any court,
9 administrative agency, or other tribunal, other than such proceedings as may be necessary
10 to effectuate the provisions of the Stipulation or this Order and Final Judgment; provided,
11 however, that the Released Persons, Lead Plaintiffs, and any Member of the Class may
12 refer to them to effectuate the liability protection granted them hereunder;

13 (d) offered or received against any of the Released Persons as evidence
14 of, or construed as evidence of, any presumption, concession, or admission by any of the
15 Released Persons that the Settlement Amount represents the amount which could or would
16 have been recovered after trial; or

17 (e) offered or received against Lead Plaintiffs or any Member of the Class
18 as evidence of, or construed as evidence of, any presumption, concession, or admission by
19 Lead Plaintiffs or any Member of the Class that any of their claims are without merit, or
20 that any defenses asserted by the Defendants in the Litigation have any merit, or that
21 damages recoverable in the Litigation would not have exceeded the Settlement Fund.

22 12. The Released Persons may file the Stipulation and/or this Order and Final
23 Judgment in any action to support a defense, claim, or counterclaim based on principles of
24 *res judicata*, collateral estoppel, release, good faith settlement, judgment bar or reduction,
25 or any other theory of claim preclusion or issue preclusion or similar defense or
26 counterclaim.

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1 13. The Court finds that Defendants have satisfied their financial obligations
2 under the Stipulation by paying or causing to be paid \$350,000,000.00 to the Settlement
3 Fund, in accordance with ¶2.2 of the Stipulation.

4 14. The Court finds and concludes that the Lead Plaintiffs, Plaintiffs' Counsel,
5 Defendants and Defendants' Counsel have complied with each requirement of Rule 11(b)
6 of the Federal Rules of Civil Procedure as to any complaint, responsive pleading,
7 dispositive motion, or other filing.

8 15. Any Plan of Allocation submitted by Lead Counsel or any order entered
9 regarding any attorneys' fee and expense application or awards to Lead Plaintiffs shall in
10 no way disturb or affect this Judgment and shall be considered separate from this Judgment.
11 Separate orders shall be entered regarding approval of a plan of allocation and Lead
12 Counsel's application for an award of attorneys' fees and expenses, and awards to Lead
13 Plaintiffs.

14 16. Any appeal or any challenge affecting the approval of (a) the Plan of
15 Allocation submitted by Lead Counsel and/or (b) this Court's approval regarding any
16 attorneys' fee and expense applications, including any awards to Lead Plaintiffs, shall in
17 no way disturb or affect the finality of the other provisions of this Order and Final Judgment
18 nor the Effective Date of the Settlement.

19 17. Without affecting the finality of this Order and Final Judgment in any way,
20 jurisdiction is hereby retained over Defendants, Lead Plaintiffs and Class Members for all
21 matters relating to the administration, interpretation, effectuation or enforcement of the
22 Stipulation and this Order and Final Judgment, including administering and distributing
23 the settlement proceeds to the Members of the Class.

24 18. In the event that the Effective Date does not occur in accordance with the
25 terms of the Stipulation, or is terminated pursuant to ¶2.16 of the Stipulation, ¶¶7.4, 7.5
26 and 7.6 of the Stipulation shall apply and this Order and Final Judgment shall be rendered
27 null and void to the extent provided by and in accordance with the Stipulation and shall be
28 vacated and may not be introduced as evidence or reflected in any action or proceeding by

1 any person or entity, and each party shall be restored to his, her or its respective position
2 as it existed prior to January 5, 2020.

3 19. Without further order of the Court, the parties may agree to reasonable
4 extensions of time to carry out any of the provisions of the Stipulation.

5 20. Defendants have provided notification to all appropriate federal and state
6 officials regarding the Settlement as required by 28 U.S.C. §1715.

7 21. Lead Plaintiffs propose that any de minimis residual settlement funds be
8 donated to CII Research and Education Fund (“CII-REF”) (www.ciiref.org), a nonpartisan
9 tax-exempt organization under §501(c)(3) of the Internal Revenue Code. The Court finds
10 that any cy pres award in this case is likely to be de minimis in light of the proposed method
11 of distributing the Settlement, and that CII-REF is an appropriate recipient of any such
12 funds because there is a “nexus between the plaintiff class and the cy pres beneficiaries.”
13 *Nachsin v. AOL, LLC*, 663 F.3d 1034, 1038 (9th Cir. 2011). According to its website, CII-
14 REF “focuses on educating the public, investors, corporations, and other financial market
15 participants and policymakers about topical issues, including corporate governance,
16 shareholder rights, investment, capital markets, accounting standards and securities
17 litigation.” Doc. 715 at 18. As it is “guided by (1) the objectives of the underlying
18 statute(s) and (2) the interests of the silent class members” (*Nachshin*, 663 F.3d at 1039),
19 CII-REF is a suitable recipient of any residual funds in this shareholder class action
20 alleging violations of the federal securities laws. *See also Dennis v. Kellogg Co.*, 697 F.3d
21 858, 865 (9th Cir. 2012); *Six (6) Mexican Workers v. Ariz. Citrus Growers*, 904 F.2d 1301,
22 1308 (9th Cir. 1990).

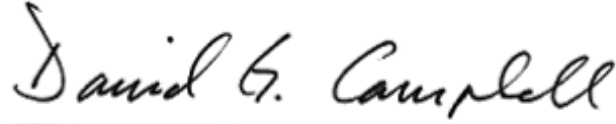
23 22. The Court has reviewed and considered the letters submitted by Class
24 Members Katherine A. Vinceri and Jeanne I. Levesque. The Court finds that neither letter
25 provides a meritorious objection to the Settlement.

26 23. This Litigation and all Released Claims are dismissed with prejudice. The
27 parties are to bear their own costs, except as otherwise agreed to in writing by the Settling
28 Parties or as otherwise provided in the Stipulation or this Order and Final Judgment.

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24. There is no just reason for delay in the entry of this Order and Final Judgment and immediate entry by the Clerk of the Court is expressly directed.

Dated this 30th day of June, 2020.



David G. Campbell
Senior United States District Judge

EXHIBIT A

Opt Out Number	Name
FIRSTSLR-00001	Gail Gainer
FIRSTSLR-00002	Brandon Scot McLaughlin
FIRSTSLR-00003	Richard Seibel
FIRSTSLR-00004	Kathryn Susan Belletire
FIRSTSLR-00005	Vernon W. Klaus / Marcella L. Klaus
FIRSTSLR-00006	Curtis Andrew Mikolyski / Allene Mikolyski
FIRSTSLR-00007	Phillip Camlin Ward
FIRSTSLR-00008	Martha Burkholder
FIRSTSLR-00009	David A. Gockowski
FIRSTSLR-00010	Joseph F. Gallagher
FIRSTSLR-00011	Richard D. Meehan
FIRSTSLR-00012	Richard Shreiner
FIRSTSLR-00013	Eugene E. Swedberg
FIRSTSLR-00014	Jonathan Sullivan Ruder
FIRSTSLR-00015	Julianne S. Carpenter
FIRSTSLR-00016	Gregory Beck Poulsen
FIRSTSLR-00017	Donna Sheehan
FIRSTSLR-00018	James R. Sorenson
FIRSTSLR-00019	Arthur C. Kneeland
FIRSTSLR-00020	Daniel Moellenbeck / Cathy Moellenbeck
FIRSTSLR-00021	Colleen R. Paynich
FIRSTSLR-00022	Hartman B. Mowery / Bonnie L. Mowery
FIRSTSLR-00023	James Sutton
FIRSTSLR-00024	Amanda Cannon
FIRSTSLR-00025	Lorraine L. Slater
FIRSTSLR-00026	Joseph P. Rowlands
FIRSTSLR-00027	Stanley F. Sroka, Jr.
FIRSTSLR-00028	Rodney A. Davis
FIRSTSLR-00029	Larry Oscar Patterson / Jill Marie Patterson
FIRSTSLR-00030	Charles D. Schapen
FIRSTSLR-00031	William C. Morrison
FIRSTSLR-00032	Anne Hawthorne Silver
FIRSTSLR-00033	Walter Westphal
FIRSTSLR-00034	Toby Ann Mead
FIRSTSLR-00035	Sandra L. Moe
FIRSTSLR-00036	Robert Phillip Goodman
FIRSTSLR-00037	John Honeycutt
FIRSTSLR-00038	Rosamond Thrapp
FIRSTSLR-00039	Aaron Wheeler
FIRSTSLR-00040	Eric Gushiken
FIRSTSLR-00041	Luann B. Shuman
FIRSTSLR-00042	Madeline R. Chapman

Opt Out Number	Name
FIRSTSLR-00043	Charles David Conaway
FIRSTSLR-00044	Katherine Anne Vinceri
FIRSTSLR-00045	Eric Nelson
FIRSTSLR-00046	Carol L. Killian
FIRSTSLR-00047	Bobby D. Hasler
FIRSTSLR-00048	Linda Noel
FIRSTSLR-00049	Patricia A. Semplak
FIRSTSLR-00050	Suzanne Hruschka Carolyn Griffin
FIRSTSLR-00051	Ronald Edward Mickley
FIRSTSLR-00052	Burton Emc Daniel
FIRSTSLR-00053	Julian L. Carter, Jr.
FIRSTSLR-00054	Leslie E. Lihou
FIRSTSLR-00055	Jean Bohnsack
FIRSTSLR-00056	Dorothy M. Kehoe
FIRSTSLR-00057	Joseph Goulet
FIRSTSLR-00058	Richard A. Coley
FIRSTSLR-00059	Shirley Dickinson / DS Dickinson Rev Trust U/S DTD 2/26/90
FIRSTSLR-00060	Alexander Onik
FIRSTSLR-00061	Claire Moreau Andrus
FIRSTSLR-00062	James F. Bem
FIRSTSLR-00063	Kathleen M. Dugan Cavanagh / Mark Cavanagh
FIRSTSLR-00064	Louise M. Koch
FIRSTSLR-00065	Emile Gergin / Elise Gergin
FIRSTSLR-00066	Charles S. Huhta
FIRSTSLR-00067	Donovan D. Wagner / Audrey J. Wagner
FIRSTSLR-00068	Womens INV GRP of Schenectady Wigs
FIRSTSLR-00069	Alan Gould
FIRSTSLR-00070	Troy Peterson
FIRSTSLR-00071	Martin Birnbach
FIRSTSLR-00072	Joanne H. Kouris
FIRSTSLR-00073	Jeffrey William Schmidt
FIRSTSLR-00074	Joan J. Graham (DECEASED) Tamzien (Tammy) E. Tallenger
FIRSTSLR-00075	William I. Hoffmann / Frieda J. Hoffmann
FIRSTSLR-00076	Ronald Lloyd
FIRSTSLR-00077	Jean Ibrahim
FIRSTSLR-00078	James M. Kelley
FIRSTSLR-00079	Robert Leroy Cackler / Melina Ame Cackler
FIRSTSLR-00080	Kenneth Gordon Vaughn / Betty J. Vaughn
FIRSTSLR-00081	Raymond August Welder
FIRSTSLR-00082	Kathryn M. Flynn (DECEASED) Kathryn M. Flynn Dye
FIRSTSLR-00083	Elaine Ann Mattingly
FIRSTSLR-00084	Coleman Ross
FIRSTSLR-00085	David Mosley
FIRSTSLR-00086	Robert F. Pettit, Jr. / Barbara M. Pettit

Opt Out Number	Name
FIRSTSLR-00087	Norma J. Carter
FIRSTSLR-00088	Dorothy A. Fisher
FIRSTSLR-00089	Margaret Jones
FIRSTSLR-00090	Etienne Vallee
FIRSTSLR-00091	John Doe
FIRSTSLR-00092	Eunice M. Davies
FIRSTSLR-00093	David A. Gockowski
FIRSTSLR-00094	Benjamin Link
FIRSTSLR-00095	Robert G. Herrmann / Joan B. Herrmann
FIRSTSLR-00096	Gary Walter Mislik / Nancy Jean Mislik
FIRSTSLR-00097	Paul P. Feeny / Mary F. Berens
FIRSTSLR-00098	John Daraban (DECEASED) / Mabel K. Daraban
FIRSTSLR-00099	Joyce J. Brandl
FIRSTSLR-00100	Thomas E. Harrison
FIRSTSLR-00101	Edith V. Blickenstaff
FIRSTSLR-00102	Bart Hiroshi Shibata
FIRSTSLR-00103	Hartman B. Mowery / Bonnie L. Mowery
FIRSTSLR-00104	Marian Hill
FIRSTSLR-00105	Robert Lindskog
FIRSTSLR-00106	Cynthia Hinofotis
FIRSTSLR-00107	Marie Elaine Sinclair
FIRSTSLR-00108	Richard Francis Baumann
FIRSTSLR-00109	Nolan Richards
FIRSTSLR-00110	Frances M. Ring
FIRSTSLR-00111	Dana Edgar / Paul Desmond Edgar
FIRSTSLR-00112	Russell B. Jones
FIRSTSLR-00113	Donna B. Geyer
FIRSTSLR-00114	Glenn T. Pounder
FIRSTSLR-00115	Charles White / Shirley White
FIRSTSLR-00116	Conard W. Hoak
FIRSTSLR-00117	Richard N. Keller
FIRSTSLR-00118	Irene Flemming
FIRSTSLR-00119	Joan B. Shorey
FIRSTSLR-00120	Pete Coop / Charlotte Coop
FIRSTSLR-00121	Roxanne D. Sismanidis / Stephan R. Burant
FIRSTSLR-00122	Harry Neufeld
FIRSTSLR-00123	Eosco
FIRSTSLR-00124	Randy Robert Bottcher / Karen Bottcher
FIRSTSLR-00125	James F. Statz
FIRSTSLR-00126	Thomas L. Lennon
FIRSTSLR-00127	Christopher M. Gibbs
FIRSTSLR-00128	Montanco
FIRSTSLR-00129	Jennifer Kay Marshall
FIRSTSLR-00130	Ghislaine Marie Shedd

Opt Out Number	Name
FIRSTSLR-00131	Devin Jay Thomson
FIRSTSLR-00132	Vandana Nanda / Sanjive Nanda
FIRSTSLR-00133	Arjun Nanada / Sanjive Nanda
FIRSTSLR-00134	Mark Robert Sigley
FIRSTSLR-00135	Alfonso Bachir Laso
FIRSTSLR-00136	Walter Sanders
FIRSTSLR-00137	Louis L. Castilian / Virginia B. Castilian
FIRSTSLR-00138	Nancy Zimpel
FIRSTSLR-00139	Nickolaos Christidis
FIRSTSLR-00140	Sophia Savul
FIRSTSLR-00141	Ernest L. Quenton
FIRSTSLR-00142	Arthur A. Robbins
FIRSTSLR-00143	Mark Wharton
FIRSTSLR-00144	Chow Kok Leong
FIRSTSLR-00145	Twinkle J. Bhatt
FIRSTSLR-00146	Robert A. Lindstrom (DECEASED) / Marcia A. Lindstrom
FIRSTSLR-00147	Siew Hua Seow
FIRSTSLR-00148	Leland E. Meier
FIRSTSLR-00149	Kyoko Yoshimura
FIRSTSLR-00150	Judson Gene Briggs
FIRSTSLR-00151	Susan Chatfield Millard
FIRSTSLR-00152	Ute Webster
FIRSTSLR-00153	Gregory M. Patchen
FIRSTSLR-00154	David Romero
FIRSTSLR-00155	Keith Richard Fluegge
FIRSTSLR-00156	Josefina Martinez
FIRSTSLR-00157	Murray Headlee
FIRSTSLR-00158	James R. Christy
FIRSTSLR-00159	Robert M. Garvert
FIRSTSLR-00160	Andrew Mark Weitzen
FIRSTSLR-00161	Edith Weitzen
FIRSTSLR-00162	Peter John Lorenzo
FIRSTSLR-00163	Joseph G. Lalonde
FIRSTSLR-00164	Hai Suk Jung
FIRSTSLR-00165	Art Rillera
FIRSTSLR-00166	Amanda Jane Breton / Mark Anderson Rowe
FIRSTSLR-00167	Alvis James Andrews
FIRSTSLR-00168	Mikael D. Lurie / Kathryn A. Lurie
FIRSTSLR-00169	Eric Michael Vos (DECEASED) / Judy Vos
FIRSTSLR-00170	Clifton R. Gruver
FIRSTSLR-00171	Jeannine Marie Scates
FIRSTSLR-00172	Thomas E. Harrington
FIRSTSLR-00173	Peter Miko
FIRSTSLR-00174	Stephen Eugene Pyle / Mary Helen Pyle

Opt Out Number	Name
FIRSTSLR-00175	Daniel D. Hinson (DECEASED) / Nicole Wynne
FIRSTSLR-00176	Jonathan David Schilling
FIRSTSLR-00177	Jerry P. Elliott
FIRSTSLR-00178	Thomas F. Clough
FIRSTSLR-00179	Michael R. Soltis
FIRSTSLR-00180	Daniel Lane Broadbent / Geri Nicole Broadbent
FIRSTSLR-00181	Hung Tu Phan
FIRSTSLR-00182	David W. Alwood
FIRSTSLR-00183	Huy Duc Nguyen
FIRSTSLR-00184	David J. Christopher
FIRSTSLR-00185	Ben S. Daniel, Jr.
FIRSTSLR-00186	Gregory K. Ennis / Laura L. Ennis
FIRSTSLR-00187	Enrique Melinsky
FIRSTSLR-00188	Michael Bergmann / Kristen Bergmann
FIRSTSLR-00189	Philip Bleaman
FIRSTSLR-00190	Monica Lee Russell
FIRSTSLR-00191	Brian Dea Pate
FIRSTSLR-00192	Michael David Sallon / Diana Mary Sallon
FIRSTSLR-00193	Ralph E. Hall
FIRSTSLR-00194	TFMS Best Ideas Fund LLC
FIRSTSLR-00195	Gary Komarowsky
FIRSTSLR-00196	Joan Aikey / Gilbert Aikey
FIRSTSLR-00197	James Sheppard
FIRSTSLR-00198	Daniel Patrick Boyle
FIRSTSLR-00199	Duff Schweninger
FIRSTSLR-00200	David Crouston / Michael Schwarz
FIRSTSLR-00201	Magdelin L. Shustek
FIRSTSLR-00202	Teal Flint Johnson
FIRSTSLR-00203	James R. Bette
FIRSTSLR-00204	Michael Thornton Lester
FIRSTSLR-00205	Robert R. Frail (DECEASED)
FIRSTSLR-00206	Richard William Steudel
FIRSTSLR-00207	Jung Lee
FIRSTSLR-00208	Ann Oberlander
FIRSTSLR-00209	Sebastian M. Calderone
FIRSTSLR-00210	Kevin Clines
FIRSTSLR-00211	Bradlee Aaron Spudic
FIRSTSLR-00212	Rebecca J. Atwood
FIRSTSLR-00213	Thomas Atwood
FIRSTSLR-00214	Darren Hopper
FIRSTSLR-00215	Sonia Dingizian
FIRSTSLR-00216	Mario Gianni Gasbarro
FIRSTSLR-00217	Jeffrey Adams Clifford
FIRSTSLR-00218	Estate of Flora Elizabeth Freeman

Opt Out Number	Name
FIRSTSLR-00219	Paul Gerald Simard
FIRSTSLR-00220	Justin Gilnick
FIRSTSLR-00221	Maverick Fund LDC / Maverick FD USA LTD & FD II LTD / Maverick Long Enhanced FD LTD / Maverick Long Fund LTD / Marverick Neutral Fund LTD
FIRSTSLR-00222	Harold R. Papiska
FIRSTSLR-00223	Gerhardus Venter
FIRSTSLR-00224	Daniel Kenton
FIRSTSLR-00225	Eugene K. Wagester
FIRSTSLR-00226	Keegan Karl
FIRSTSLR-00227	Rhea Keene
FIRSTSLR-00228	Mahmudur Rahman Rahma Villamiel De Caseres 34
FIRSTSLR-00229	Misel Ladisic
FIRSTSLR-00230	Gerald Alan Wyeth
FIRSTSLR-00231	Laura Lucca
FIRSTSLR-80001	Eric Easley
FIRSTSLR-80002	Nayada Lugji
FIRSTSLR-80003	James R. Martin
FIRSTSLR-80004	Jeffrey Beeman
FIRSTSLR-80005	Vengkata Ramana Rao A/L Rama Appudu
FIRSTSLR-80006	Pennie Baldacci
FIRSTSLR-80007	Gruppo Banco Leonardo Spa
FIRSTSLR-80008	Shirley Dickinson DS Dickinson Rev Trust
FIRSTSLR-80009	Matthew R. Leyko
FIRSTSLR-80010	Wing Chung Tang
FIRSTSLR-80011	Josetta A. Berg